Modal properties of recursive programs Work in progress

Paul Blain Levy

University of Birmingham

September 24, 2008

- A language
- Roscoe's Seeing Beyond Divergence model
- A model of lower bisimilarity
- What's really happening: modal logic

A basic language

Syntax

Let \mathcal{A} be a countable alphabet.

```
M ::= \text{ print } c. \ M \ | \ x \ | \ \text{rec } x. \ M \ | \ \text{choose}_{n \in \mathbb{N}} \ M_n
```

 $c\in \mathcal{A}$

Many other things can be added.

A basic language

Syntax

Let \mathcal{A} be a countable alphabet.

```
M ::= \text{ print } c. \ M \ | \ x \ | \ \text{rec } x. \ M \ | \ \text{choose}_{n \in \mathbb{N}} \ M_n
```

 $c\in \mathcal{A}$

Many other things can be added.

Small-step semantics

$$ext{print } c. \ M \quad \stackrel{\mathcal{C}}{\leadsto} \quad M$$
 $ext{rec } \textbf{x}. \ M \quad \rightsquigarrow \quad M[ext{rec } \textbf{x}. \ M/ ext{x}]$
 $ext{choose}_{n \in \mathbb{N}} \ M_n \quad \rightsquigarrow \quad M_{\hat{n}} \qquad \quad \hat{n} \in \mathbb{N}$

A basic language

Syntax

Let \mathcal{A} be a countable alphabet.

```
M ::= \text{ print } c. \ M \ | \ x \ | \ \text{rec } x. \ M \ | \ \text{choose}_{n \in \mathbb{N}} \ M_n
```

 $c\in \mathcal{A}$

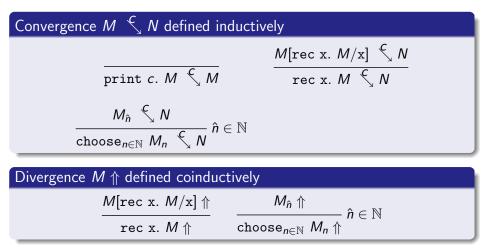
Many other things can be added.

Small-step semantics

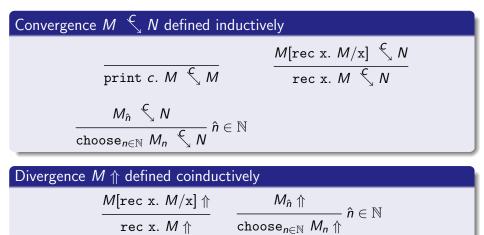
A program either

- prints a finite string, then diverges
- or prints an infinite string.

Medium step semantics



Medium step semantics



We have

•
$$M \stackrel{C}{\searrow} N$$
 iff $M \rightsquigarrow^* \stackrel{C}{\rightsquigarrow} N$

•
$$M \Uparrow \inf M \rightsquigarrow^{\omega}$$

We are interested in denotational models where a term denotes a function from environments.

We are interested in denotational models where a term denotes a function from environments.

To interpret recursion, we need an appropriate way of finding a fixpoint of an endofunction.

For example, least fixpoint or greatest fixpoint.

- We are interested in denotational models where a term denotes a function from environments.
- To interpret recursion, we need an appropriate way of finding a fixpoint of an endofunction.
- For example, least fixpoint or greatest fixpoint.
- Roscoe's Seeing Beyond Divergence model uses a reflected fixpoint.

A closed command M has

• a set $T(M) \subseteq \mathcal{A}^*$ of finite traces

M can print hello

A closed command M has

- a set T(M) ⊆ A* of finite traces
 M can print hello
- a set $D(M) \subseteq \mathcal{A}^*$ of divergences

 ${\it M}$ can print hello then diverge

A closed command M has

- a set T(M) ⊆ A* of finite traces
 M can print hello
- a set $D(M) \subseteq \mathcal{A}^*$ of divergences

M can print hello then diverge

• a set $I(M) \subseteq \mathcal{A}^{\omega}$ of infinite traces

M can print helloworldworldworld

Definition of $[M]_{\mathcal{N}}$

- the set of finite traces of M, together with extensions of divergences
- the set of extensions of divergences of M
- the set of infinite traces of M, together with extensions of divergences

This semantics is divergence strict.

Definition of $[M]_{\mathcal{N}}$

- the set of finite traces of M, together with extensions of divergences
- the set of extensions of divergences of M
- the set of infinite traces of M, together with extensions of divergences

This semantics is divergence strict.

To model recursion, we take the greatest fixpoint. (Reverse ordering is the upper powerdomain.)

Definition of $[M]_{SBD}$

- the set of finite traces of M
- the set of divergences of M
- the set of infinite traces, together with limits of divergences (called " $\omega\text{-divergences"}$)

Definition of $[M]_{SBD}$

- the set of finite traces of M
- the set of divergences of M
- the set of infinite traces, together with limits of divergences (called " ω -divergences")

To model recursion:

- first compute the greatest fixpoint wrt []_N, giving a "diamond": a complete lattice of possible solutions that are []_N equivalent
- then compute the least fixpoint wrt []_{SBD} within that complete lattice.
- This is called the reflected fixpoint.

Continuity

For any recursion, the second phase of fixpoint calculation converges in $\boldsymbol{\omega}$ steps.

Continuity

For any recursion, the second phase of fixpoint calculation converges in $\boldsymbol{\omega}$ steps.

This is because every term denotes a function that is continuous on each diamond.

Continuity

For any recursion, the second phase of fixpoint calculation converges in $\boldsymbol{\omega}$ steps.

This is because every term denotes a function that is continuous on each diamond.

Lexicographic Ordering

The reflected fixpoint is the least prefixed point wrt the lexicographic ordering:

- reverse inclusion for $[]_{\mathcal{N}}$
- then inclusion for []_{SBD}

Continuity

For any recursion, the second phase of fixpoint calculation converges in $\boldsymbol{\omega}$ steps.

This is because every term denotes a function that is continuous on each diamond.

Lexicographic Ordering

The reflected fixpoint is the least prefixed point wrt the lexicographic ordering:

- reverse inclusion for $[]_{\mathcal{N}}$
- then inclusion for []_{SBD}

Terms are not monotone wrt this ordering.

Let ${\mathcal R}$ be a binary relation on closed terms.

It is a lower simulation when $M \mathcal{R} M'$ and $M \leq N$ implies $\exists N'$ such that $M' \leq N'$ and $N \mathcal{R} N'$.

It is a lower bisimulation when ${\mathcal R}$ and ${\mathcal R}^{^{op}}$ are lower simulations.

It is a convex bisimulation when moreover $M \mathcal{R} M'$ implies $M \Uparrow \Leftrightarrow M' \Uparrow$.

The greatest lower bisimulation is called lower bisimilarity.

Let ${\mathcal R}$ be a binary relation on closed terms.

It is a lower simulation when $M \mathcal{R} M'$ and $M \leq N$ implies $\exists N'$ such that $M' \leq N'$ and $N \mathcal{R} N'$.

It is a lower bisimulation when ${\mathcal R}$ and ${\mathcal R}^{^{op}}$ are lower simulations.

It is a convex bisimulation when moreover $M \mathcal{R} M'$ implies $M \Uparrow \Leftrightarrow M' \Uparrow$.

The greatest lower bisimulation is called lower bisimilarity.

Two closed terms M, M' are lower bisimilar

Let ${\mathcal R}$ be a binary relation on closed terms.

It is a lower simulation when $M \mathcal{R} M'$ and $M \leq N$ implies $\exists N'$ such that $M' \leq N'$ and $N \mathcal{R} N'$.

It is a lower bisimulation when ${\mathcal R}$ and ${\mathcal R}^{^{op}}$ are lower simulations.

It is a convex bisimulation when moreover $M \mathcal{R} M'$ implies $M \Uparrow \Leftrightarrow M' \Uparrow$.

The greatest lower bisimulation is called lower bisimilarity.

Two closed terms M, M' are lower bisimilar

• iff they have the same anamorphic image

Let ${\mathcal R}$ be a binary relation on closed terms.

It is a lower simulation when $M \mathcal{R} M'$ and $M \leq N$ implies $\exists N'$ such that $M' \leq N'$ and $N \mathcal{R} N'$.

It is a lower bisimulation when ${\mathcal R}$ and ${\mathcal R}^{^{op}}$ are lower simulations.

It is a convex bisimulation when moreover $M \mathcal{R} M'$ implies $M \Uparrow \Leftrightarrow M' \Uparrow$.

The greatest lower bisimulation is called lower bisimilarity.

Two closed terms M, M' are lower bisimilar

- iff they have the same anamorphic image
- iff there is a strategy for the bisimilarity game between them (Opponent moves first, and in each move can play either left or right)

Let $\ensuremath{\mathcal{R}}$ be a binary relation on closed terms.

It is a lower simulation when $M \mathcal{R} M'$ and $M \leq N$ implies $\exists N'$ such that $M' \leq N'$ and $N \mathcal{R} N'$.

It is a lower bisimulation when ${\mathcal R}$ and ${\mathcal R}^{^{op}}$ are lower simulations.

It is a convex bisimulation when moreover $M \mathcal{R} M'$ implies $M \Uparrow \Leftrightarrow M' \Uparrow$.

The greatest lower bisimulation is called lower bisimilarity.

Two closed terms M, M' are lower bisimilar

- iff they have the same anamorphic image
- iff there is a strategy for the bisimilarity game between them (Opponent moves first, and in each move can play either left or right)
- iff they satisfy the same formulas in Hennessy-Milner logic

$$P ::= \diamond a.P \mid \bigvee_{j \in J} P_i \mid \neg P$$

A 2-nested (lower) simulation is a simulation contained in mutual similarity.

A 2-nested (lower) simulation is a simulation contained in mutual similarity.

Two closed terms M, M' are related by 2-nested similarity

- iff there is a strategy for the 2-nested simulation game (Opponent starts on the left, and can switch once)
- iff M ⊨ P implies M' ⊨ P whenever P has at most one level of negation.

11 / 21

A 2-nested (lower) simulation is a simulation contained in mutual similarity.

Two closed terms M, M' are related by 2-nested similarity

- iff there is a strategy for the 2-nested simulation game (Opponent starts on the left, and can switch once)
- iff M ⊨ P implies M' ⊨ P whenever P has at most one level of negation.

A 3-nested lower simulation is a simulation contained in mutual 2-nested similarity. And so through all countable ordinals.

A 2-nested (lower) simulation is a simulation contained in mutual similarity.

Two closed terms M, M' are related by 2-nested similarity

- iff there is a strategy for the 2-nested simulation game (Opponent starts on the left, and can switch once)
- iff M ⊨ P implies M' ⊨ P whenever P has at most one level of negation.

A 3-nested lower simulation is a simulation contained in mutual 2-nested similarity. And so through all countable ordinals.

The intersection of *n*-nested similarity for $n < \omega_1$ is lower bisimilarity.

A 2-nested (lower) simulation is a simulation contained in mutual similarity.

Two closed terms M, M' are related by 2-nested similarity

- iff there is a strategy for the 2-nested simulation game (Opponent starts on the left, and can switch once)
- iff M ⊨ P implies M' ⊨ P whenever P has at most one level of negation.

A 3-nested lower simulation is a simulation contained in mutual 2-nested similarity. And so through all countable ordinals.

The intersection of *n*-nested similarity for $n < \omega_1$ is lower bisimilarity.

Theorem Up to lower bisimilarity, rec x. M is the lexicographically least prefixed point for $N \mapsto N[\text{rec x. } M/x]$ wrt this sequence of precongruences.

Synchronization Trees

Milner and Winskel have studied semantics in which

- a closed term denotes a synchronization tree of possible behaviours.
- an open term denotes a function (actually a functor) from synchronization trees to synchronization trees.

Very intensional: the idempotency law M or M = M is not validated.

Synchronization Trees

Milner and Winskel have studied semantics in which

- a closed term denotes a synchronization tree of possible behaviours.
- an open term denotes a function (actually a functor) from synchronization trees to synchronization trees.

Very intensional: the idempotency law M or M = M is not validated.

Lower bisimilarity is studied as a relation on the trees, but this is not part of the denotational semantics.

Abramsky's domain equation for bisimulation

Abramsky gave a semantics (for finite nondeterminism) using a domain equation involving the convex powerdomain.

Abramsky's domain equation for bisimulation

Abramsky gave a semantics (for finite nondeterminism) using a domain equation involving the convex powerdomain.

For nondivergent terms, denotational equivalence coincides with lower bisimilarity.

Abramsky's domain equation for bisimulation

Abramsky gave a semantics (for finite nondeterminism) using a domain equation involving the convex powerdomain.

For nondivergent terms, denotational equivalence coincides with lower bisimilarity.

But in general, terms may have the same denotation without being lower bisimilar.

Abramsky's domain equation for bisimulation

Abramsky gave a semantics (for finite nondeterminism) using a domain equation involving the convex powerdomain.

For nondivergent terms, denotational equivalence coincides with lower bisimilarity.

But in general, terms may have the same denotation without being lower bisimilar.

This is inevitable in least fixpoint semantics.

In each of these models, there is a set C of elements and an ordinal sequence of preorders on C.

The intersection of these preorders is discrete.

In each of these models, there is a set C of elements and an ordinal sequence of preorders on C.

The intersection of these preorders is discrete.

Terms are continuous wrt some of these orderings, and $\omega_1\text{-}\mathrm{continuous}$ wrt others.

In each of these models, there is a set C of elements and an ordinal sequence of preorders on C.

The intersection of these preorders is discrete.

Terms are continuous wrt some of these orderings, and $\omega_1\text{-}\mathrm{continuous}$ wrt others.

A recursion is interpreted, in these models, as the least prefixed point wrt the induced lexicographic partial order.

In each of these models, there is a set C of elements and an ordinal sequence of preorders on C.

The intersection of these preorders is discrete.

Terms are continuous wrt some of these orderings, and ω_1 -continuous wrt others.

A recursion is interpreted, in these models, as the least prefixed point wrt the induced lexicographic partial order.

Warning Terms are not even monotone wrt this lexicographic partial order.

Modal logic with may and must

Modal logic in the style of Hennessy-Milner:

$$A ::= \neg A \mid \bigvee_{i \in I} A_i \mid \bigwedge_{i \in I} A_i \mid \Diamond a.A \mid \Box_{s \in \mathcal{A}^*} A_s$$

where I is bounded by some suitable cardinal.

Modal logic with may and must

Modal logic in the style of Hennessy-Milner:

$$A ::= \neg A \mid \bigvee_{i \in I} A_i \mid \bigwedge_{i \in I} A_i \mid \diamond a.A \mid \Box_{s \in \mathcal{A}^*} A_s$$

where I is bounded by some suitable cardinal.

Meaning of \diamond

 $\diamond a.A$ means it is possible that a will be printed and then A will be satisfied.

Modal logic with may and must

Modal logic in the style of Hennessy-Milner:

$$A ::= \neg A \mid \bigvee_{i \in I} A_i \mid \bigwedge_{i \in I} A_i \mid \Diamond a.A \mid \Box_{s \in \mathcal{A}^*} A_s$$

where I is bounded by some suitable cardinal.

Meaning of \diamond

 $\diamond a.A$ means it is possible that *a* will be printed and then *A* will be satisfied.

Meaning of \Box

 $\Box_{s \in \mathcal{A}^*} A_s$ means a time will come when A_s will be satisfied, where s is the string printed between now and then.

We say $M \preccurlyeq (A) M'$ when

• for every context C, if $C[M] \vDash A$ then $C[M'] \vdash A$.

We say $M \preccurlyeq (A) M'$ when

• for every context C, if $C[M] \vDash A$ then $C[M'] \vdash A$.

This is a preorder, and we can speak of the \preccurlyeq (*A*) equivalence class of *M*.

We say $M \preccurlyeq (A) M'$ when

• for every context C, if $C[M] \vDash A$ then $C[M'] \vdash A$.

This is a preorder, and we can speak of the \preccurlyeq (A) equivalence class of M. More generally, we say $M \preccurlyeq (\{A_i\}_{i \in I}) M$ when

• for every context C and $i \in I$, if $C[M] \vDash A_i$ then $C[M'] \vDash A_i$.

Let U be the \preccurlyeq (A) equivalence class of rec x. M.

Clearly $\theta_M : N \mapsto M[N/\mathbf{x}]$ is an endofunction on U, monotone wrt $\preccurlyeq (B)$.

Let U be the \preccurlyeq (A) equivalence class of rec x. M.

Clearly $\theta_M : N \mapsto M[N/\mathbf{x}]$ is an endofunction on U, monotone wrt $\preccurlyeq (B)$.

Theorem

Suppose U has a \preccurlyeq (B) least element of U, call it N.

Let U be the \preccurlyeq (A) equivalence class of rec x. M.

Clearly $\theta_M : N \mapsto M[N/\mathbf{x}]$ is an endofunction on U, monotone wrt $\preccurlyeq (B)$.

Theorem

Suppose U has a \preccurlyeq (B) least element of U, call it N.

Then $\mathcal{C}[\operatorname{rec} x. M] \vDash \Diamond a.A$ iff there exists $n \in \mathbb{N}$ such that $\mathcal{C}[\theta_M^n N] \vDash \Diamond a.A$.

Let U be the \preccurlyeq (A) equivalence class of rec x. M.

Clearly $\theta_M : N \mapsto M[N/\mathbf{x}]$ is an endofunction on U, monotone wrt $\preccurlyeq (B)$.

Theorem

Suppose U has a \preccurlyeq (B) least element of U, call it N.

Then $\mathcal{C}[\operatorname{rec} x. M] \vDash \Diamond a.A$ iff there exists $n \in \mathbb{N}$ such that $\mathcal{C}[\theta_M^n N] \vDash \Diamond a.A$.

The special case that A = True gives lower powerdomain semantics.

We want to know when C[rec x. M] satisfies $B \stackrel{\text{def}}{=} \Box_{s \in \mathcal{A}^*} A_s$.

We want to know when C[rec x. M] satisfies $B \stackrel{\text{def}}{=} \Box_{s \in \mathcal{A}^*} A_s$.

Let U_s be the $\preccurlyeq (A_s)$ equivalence class of rec x.M.

 $\theta_M : N \mapsto M[N/\mathbf{x}]$ is an endofunction on $\bigcap_{s \in \mathcal{A}^*} U_s$ monotone wrt $\preccurlyeq (B)$.

We want to know when $C[\operatorname{rec} x. M]$ satisfies $B \stackrel{\text{def}}{=} \Box_{s \in \mathcal{A}^*} A_s$.

Let U_s be the $\prec (A_s)$ equivalence class of rec x.M.

 $\theta_M : N \mapsto M[N/\mathbf{x}]$ is an endofunction on $\bigcap_{s \in \mathcal{A}^*} U_s$ monotone wrt $\preccurlyeq (B)$.

Conjecture

Define a sequence $(N_{\alpha})_{\alpha < \omega_1}$ contained in U, increasing wrt $\preccurlyeq (B)$

$$\begin{array}{ll} \mathcal{N}_{0} & \stackrel{\mathrm{def}}{=} & \preccurlyeq(B) \text{ least element of } \bigcap_{s \in \mathcal{A}^{*}} \mathcal{U}_{s}, \text{ assuming it exists} \\ \mathcal{N}_{\beta+1} & \stackrel{\mathrm{def}}{=} & \theta_{M} \mathcal{N}_{\beta} \\ \mathcal{N}_{\gamma} & \stackrel{\mathrm{def}}{=} & \preccurlyeq(B) \text{ supremum of } (\mathcal{N}_{\alpha})_{\alpha < \gamma}, \text{ assuming it exists} \end{array}$$

We want to know when $C[\operatorname{rec} x. M]$ satisfies $B \stackrel{\text{def}}{=} \Box_{s \in \mathcal{A}^*} A_s$.

Let U_s be the $\prec (A_s)$ equivalence class of rec x.M.

 $\theta_M : N \mapsto M[N/\mathbf{x}]$ is an endofunction on $\bigcap_{s \in \mathcal{A}^*} U_s$ monotone wrt $\preccurlyeq (B)$.

Conjecture

Define a sequence $(N_{\alpha})_{\alpha < \omega_1}$ contained in U, increasing wrt $\preccurlyeq (B)$

$$\begin{array}{ll} \mathcal{N}_{0} & \stackrel{\mathrm{def}}{=} & \preccurlyeq(B) \text{ least element of } \bigcap_{s \in \mathcal{A}^{*}} \mathcal{U}_{s}, \text{ assuming it exists} \\ \mathcal{N}_{\beta+1} & \stackrel{\mathrm{def}}{=} & \theta_{M} \mathcal{N}_{\beta} \\ \mathcal{N}_{\gamma} & \stackrel{\mathrm{def}}{=} & \preccurlyeq(B) \text{ supremum of } (\mathcal{N}_{\alpha})_{\alpha < \gamma}, \text{ assuming it exists} \end{array}$$

Then $C[\text{rec x. } M] \vDash B$ iff there exists $\alpha < \omega_1$ such that $C[N_\alpha] \vDash B$.

We want to know when $C[\operatorname{rec} x. M]$ satisfies $B \stackrel{\text{def}}{=} \Box_{s \in \mathcal{A}^*} A_s$.

Let U_s be the $\prec (A_s)$ equivalence class of rec x.M.

 $\theta_M : N \mapsto M[N/\mathbf{x}]$ is an endofunction on $\bigcap_{s \in \mathcal{A}^*} U_s$ monotone wrt $\preccurlyeq (B)$.

Conjecture

Define a sequence $(N_{\alpha})_{\alpha < \omega_1}$ contained in U, increasing wrt $\preccurlyeq (B)$

$$egin{aligned} & N_0 & \stackrel{ ext{def}}{=} & \preccurlyeq(B) ext{ least element of } igcap_{s\in\mathcal{A}^*} U_s, ext{ assuming it exists} \ & N_{eta+1} & \stackrel{ ext{def}}{=} & heta_M N_eta \ & N_\gamma & \stackrel{ ext{def}}{=} & \preccurlyeq(B) ext{ supremum of } (N_lpha)_{lpha<\gamma}, ext{ assuming it exists} \end{aligned}$$

Then $C[\text{rec x. } M] \vDash B$ iff there exists $\alpha < \omega_1$ such that $C[N_\alpha] \vDash B$.

The special case that A = True gives upper powerdomain semantics.

Let t be a finite trace, divergence or infinite trace, and let s be a finite prefix.

We say that *M* semi-validates $s \sqsubseteq t$ when it is possible for *M* to prove *s* and never refute *t*.

Let t be a finite trace, divergence or infinite trace, and let s be a finite prefix.

We say that *M* semi-validates $s \sqsubseteq t$ when it is possible for *M* to prove *s* and never refute *t*.

Two terms M, M' are SBD equivalent when they semi-validate the same prefixes.

Let t be a finite trace, divergence or infinite trace, and let s be a finite prefix.

We say that *M* semi-validates $s \sqsubseteq t$ when it is possible for *M* to prove *s* and never refute *t*.

Two terms M, M' are SBD equivalent when they semi-validate the same prefixes.

M validates hello \sqsubseteq helloworldworldworld...when

 $M \vDash \Diamond$ hello. $\neg \Box s.s \not\sqsubseteq$ worldworldworld...

We can now see how the two-part calculation of a recursion arises.

We are starting to understand iterated fixpoint denotations of recursive programs by thinking about the modal properties that they satisfy.

We are starting to understand iterated fixpoint denotations of recursive programs by thinking about the modal properties that they satisfy.

Studying this syntactically is awkward, since

- we do not know what the \preccurlyeq (A) preorders actually are
- the required suprema might not exist.

We are starting to understand iterated fixpoint denotations of recursive programs by thinking about the modal properties that they satisfy.

Studying this syntactically is awkward, since

- we do not know what the \preccurlyeq (A) preorders actually are
- the required suprema might not exist.

Perhaps a deductively closed set of modal formulas could serve as a generalized program?

To define big-step semantics of a functional language (even one with McCarthy's amb):

- first define convergence (\Downarrow) as a least prefixed point
- then define divergence (\uparrow) as a greatest postfixed point.

- To define big-step semantics of a functional language (even one with McCarthy's amb):
 - first define convergence (\Downarrow) as a least prefixed point
 - then define divergence (\uparrow) as a greatest postfixed point.

Big-step semantics can be seen as describing the denotational semantics of an interpreter, which is a first-order recursive program.

- To define big-step semantics of a functional language (even one with McCarthy's amb):
 - first define convergence (\Downarrow) as a least prefixed point
 - then define divergence (\uparrow) as a greatest postfixed point.

Big-step semantics can be seen as describing the denotational semantics of an interpreter, which is a first-order recursive program.

The pair (\Downarrow, \Uparrow) is a lexicographically least prefixed point.